

**INDEPENDENT STATE OF PAPUA NEW GUINEA
Papua New Guinea COVID-19 Emergency
Response Project (P173834) and Additional
Financing (P174717)**

Version For Negotiations

**ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)**

**Dated April 9, 2021
as amended on [*to insert negotiations date for
the AF*]**

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Independent State of Papua New Guinea (hereinafter PNG or the Recipient) shall implement the Papua New Guinea COVID-19 Emergency Response Project (the **Project**), with the involvement of the National Department of Health (NDOH). The NDOH shall lead and collaborate with other relevant governmental agencies/departments/units at the national, provincial and local levels on Project implementation as may be necessary. The International Development Association (hereinafter the Association) has agreed to provide financing (IDA Credit Number 6614-PG) (the **Original Financing** or **OF**) for the Project. Subsequently, the Association has agreed to provide additional financing (IDA Credit Numbers 6785-PG and 6797-PG)(the **Additional Financing** or **AF**) to assist in financing certain scaled-up and new activities under the Project. This ESCP amends and replaces the ESCP dated April 9, 2020 in its entirety.
2. The Recipient shall carry out the Project in accordance with the Environmental and Social Standards (**ESSs**). To this end, this Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions to be carried out or caused to be carried out by the Recipient, including the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, grievance management and the environmental and social assessments and instruments to be prepared or updated, disclosed, consulted, adopted and implemented under the ESCP and the ESSs, all in a manner acceptable to the Association.
3. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Association by the Recipient as required by the ESCP and the provisions of the Financing Agreement.
4. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient, through NDOH, shall update the ESCP to reflect the agreed-upon changes. Agreement on changes to the ESCP shall be documented through an exchange of letters signed between the Association and the Recipient, through NDOH. The Recipient, through NDOH, shall promptly disclose the updated ESCP.
5. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING: Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, the implementation of the ESCP, status of preparation and implementation of the environmental and social (E&S) documents required under the ESCP, stakeholder engagement activities and grievances log, Labor Management Procedures (LMP) implementation, contractor’s ESHS implementation (when required for the improvement of physical facilities/equipping the existing facilities), ESHS incidents, and functioning of the grievance mechanism(s).</p>	Six-monthly throughout Project implementation.	National Department of Health
B	<p>INCIDENTS AND ACCIDENTS Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, <i>inter alia</i>, any COVID outbreak in the Project area. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p>Minor incidents shall be reflected in the six-monthly reports to the Association. The Association shall be notified of major issues within 24 hours of their occurrence.</p> <p>A report shall be provided to the Association, within a timeframe acceptable to the Association, as requested.</p>	National Department of Health
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE: The National Department of Health shall maintain a Project Coordination Unit (PCU) with qualified staff and resources to support management of ESHS risks and impacts of the Project, including a local full-time Environmental, Social and Health and Safety and Community Engagement Specialist.</p> <p>An international part-time Environmental, Social and Health and Safety Specialist shall be retained on an as-required basis.</p> <p>The PCU will also contract the United Nations Office for Project Services (UNOPS), the United Nations International Children’s Emergency Fund (UNICEF) and the World Health Organization (WHO) to support Project activities. The PCU shall ensure that these organizations follow the Project’s Environmental and Social Management Framework (ESMF) and comply to all relevant ESSs.</p>	<p>A local full-time Environmental, Social and Health and Safety and Community Engagement Specialist shall be maintained throughout Project implementation.</p> <p>An international part-time Environmental, Social and Health and Safety Specialist shall be retained on an as-required basis, including undertaking an expanded role to support the scaled-up and new Project activities.</p> <p>PCU shall be maintained throughout Project implementation.</p>	National Department of Health

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS</p> <p>a. Assess the environmental and social risks and impacts of proposed Project activities, in accordance with the Environmental and Social Management Framework (ESMF) prepared, disclosed, consulted and adopted for the Project, the ESSs, the Environmental, Health and Safety Guidelines (EHSGs), and other relevant Good International Industry Practice (GIIP), including relevant WHO guidelines.</p> <p>b. Prepare, disclose, consult, adopt, and implement any environmental and social management plans, instruments or other measures required for the respective Project activities based on the assessment process, in accordance with the ESSs, the ESMF, the EHSGs, and other relevant GIIP, including relevant WHO guidelines to, <i>inter alia</i>, ensure access to and allocation of Project benefits in a fair, equitable and inclusive manner, taking into account the needs of individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, including, as relevant, with regards to vaccines.</p> <p>c. Incorporate the relevant aspects of this ESCP, including, <i>inter alia</i>, the ESMF, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.</p> <p>d. Adopt procedures, protocols and/or other measures to ensure Project beneficiaries that receive vaccines under the Project do so under a program that does not include forced vaccination and is acceptable to the Association, as set out in the ESMF.</p> <p>e. Complete an E&S audit that is acceptable to the Association to ensure that any Project activity financed retroactively complies with the current and updated ESMF and all relevant ESSs. The ESMF shall include terms of reference for the E&S audit that would be necessary to allow retroactive financing.</p>	<p>a. The ESMF dated May 14, 2020 shall be updated to address risks of new AF activities (including sub-components 1.3 and 1.4), disclosed, consulted and adopted no later than 30 days after the effective date of the Financing Agreement for the AF (AF Effective Date) and prior to commencement of the these activities. Assessments shall be conducted before the carrying out of the relevant Project activities.</p> <p>b. Plans/instruments shall be prepared, disclosed, consulted and adopted before the carrying out of the relevant Project activities, and thereafter implemented throughout the carrying out of such activities.</p> <p>c. The relevant ESHS measures shall be incorporated into the procurement documents before launching the procurement process for the relevant Project activities, and shall thereafter complied with throughout the carrying out of such activities.</p> <p>d. Before the carrying out of the relevant Project activities, and thereafter implemented throughout the carrying out of such activities.</p> <p>e. Before activities are retroactively financed.</p>	<p>National Department of Health</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.3 EXCLUSIONS: Exclude the following types of activities as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> • Activities that may cause long term, permanent and/or irreversible (e.g. loss of major natural habitat) adverse impacts. • Activities that have high probability of causing serious adverse effects to human health and/or the environment not related treatment of COVID-19 cases. • Activities that may have significant adverse social impacts and may give rise to significant social conflict. • Activities that may affect lands or rights of indigenous people or other vulnerable minorities. • Activities that may involve resettlement or land acquisition or adverse impacts on cultural heritage. • All the other excluded activities set out in the ESMF of the Project. 	<p>These exclusions shall be applied as part of the screening process conducted under action 1.2.a. above</p>	<p>National Department of Health</p>
<p>1.4 CONTINGENT EMERGENCY RESPONSE FINANCING</p> <p>a. Ensure that the Contingent Emergency Response Component Operations Manual (CERC OM) includes a description of the ESHS assessment and management arrangements for the implementation of Part 4 of the Project (Contingent Emergency Response Component or CERC), in accordance with the ESSs.</p> <p>b. If the ESMF measures do not fit the type of activities and the environmental and social risks of the CERC activities, prepare, disclose, consult and adopt an Addendum to the ESMF (CERC Addendum), in accordance with the CERC OM, the ESMF and the ESSs.</p>	<p>a. The adoption of the CERC OM in form and substance acceptable to the Association is a withdrawal condition under Section III.B.1(b) of Schedule 2 to the Financing Agreement for the OF.</p> <p>b. A CERC Addendum, if needed, shall be prepared, disclosed, consulted, and thereafter adopted prior to CERC activation.</p>	<p>National Department of Health</p>
<p>ESS 2: LABOR AND WORKING CONDITIONS</p>		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.1	<p>LABOR MANAGEMENT:</p> <p>The Project shall be carried out in accordance with the applicable requirements of ESS2, including through, <i>inter alia</i>, implementing adequate occupational health and safety measures (including personal protective equipment, and emergency preparedness and response measures), setting out grievance mechanisms for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. These requirements are outlined in the ESMF and the Labor Management Procedures (LMP).</p>	<p>The LMP dated May 14, 2020 shall be updated, consulted, disclosed and adopted as part of the ESMF, no later than 30 days after the AF Effective Date and prior to commencement of the relevant Project activities.</p> <p>All measures specified in this action 2.1 shall be implemented throughout Project implementation.</p>	National Department of Health
2.2	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>Adopt, implement and update the Occupational Health and Safety (OHS) measures in line with the ESMF, LMP, Infection Prevention & Waste Management Plan (IPC&WMP) and WHO guidelines on COVID-19 in a manner acceptable to the Association.</p>	All measures specified in this action 2.2 shall be implemented throughout Project implementation.	National Department of Health
2.3	<p>WORKER CODE OF CONDUCT</p> <p>Ensure that all Project workers adhere to a Code of Conduct (CoC) which meets the requirements outlined in the LMP.</p> <p>Provisions to prevent Sexual Exploitation and Abuse/Sexual Harassment (SEA/SH) shall be included in the CoC for PCU's staff and for contracted workers in line with relevant national laws and legislation and adopted and applied under the Project.</p>	Throughout Project implementation.	National Department of Health
2.4	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish, maintain, and operate a grievance redress mechanism for Project workers, as described in the LMP and consistent with ESS2, with focal points to address these grievances established within NDOH.</p>	Implement and update the Grievance Redress Mechanism throughout Project Implementation.	National Department of Health
2.5	<p>CHILD LABOR AND MINIMUM AGE</p> <p>Prohibit child labor (any person under the age of 18) due to the hazardous work situation.</p>	Throughout Project implementation.	National Department of Health
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>3.1 Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, <i>inter alia</i>, measures to: carry out the storage, transportation and handling of vaccines (including, ultra-cold chain management) in a safe manner and in accordance with the EHSGs, and other relevant GIIP including relevant WHO guidelines; and adequately manage and dispose of health care wastes (including, vaccines) and other types of hazardous and non-hazardous wastes. Greenhouse gas (GHG) emissions shall be calculated prior to the procurement of any cold chain storage equipment and the estimate included in the ESMF.</p>	<p>Throughout Project implementation.</p> <p>The ESMF shall be updated, consulted, disclosed and adopted to include an estimate of GHGs prior to the procurement of cold chain storage equipment.</p>	<p>National Department of Health</p>
<p>3.2 The IPC&WMP shall be reviewed and updated, including gap analysis against NDOH policies and procedures and waste disposal requirements relating specifically to vaccine deployment (such as sharps and expired vaccines) in line with WHO advice and other GIIP.</p>	<p>The IPC&WMP dated May 14, 2020 shall be reviewed, updated, consulted disclosed and adopted, as part of the ESMF, no later than 30 days after the AF Effective Date and before the carrying out of the relevant Project activities.</p>	<p>National Department of Health</p>
<p>ESS 4: COMMUNITY HEALTH AND SAFETY</p>		
<p>4.1 COMMUNITY HEALTH AND SAFETY:</p> <p>Relevant aspects of this standard shall be considered, as needed, under action 1.2 above including, <i>inter alia</i>, measures to: minimize the potential for community exposure to communicable diseases; ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project in line with the latest data related to the prevalence of the cases and risk to COVID-19 transmission and severe health impacts; manage the risks of the use of security personnel; manage the risks of labor influx; and prevent and respond to sexual exploitation and abuse, and sexual harassment.</p>	<p>Throughout Project implementation.</p>	<p>National Department of Health</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>4.2 USE OF SECURITY PERSONNEL: While the use of security personnel is not anticipated, in the event that they do need to be engaged, the following measures shall be adopted to ensure that the engagement of security personnel for the provision of security to Project workers, sites and/or assets is carried out in accordance with the ESSs:</p> <ul style="list-style-type: none"> a. Assess the risks and impacts of engagement of the security personnel, as part of the assessment referred to in action 1.2 a) above, and implement measures to manage such risks and impacts, guided by the principles of proportionality and GIIP, and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such security personnel; b. Adopt and enforce standards, protocols and codes of conduct for the selection and use of security personnel, and screen such personnel to verify that they have not engaged in past unlawful or abusive behavior, including sexual exploitation and abuse (SEA), sexual harassment (SH) or excessive use of force; c. Ensure that such personnel is adequately instructed and trained, prior to deployment and on a regular basis, on the use of force and appropriate conduct (including in relation to civilian-security engagement, SEA and SH, and other relevant areas), as set out in the Environmental and Social Management Framework. d. Ensure that the stakeholder engagement activities under the Stakeholder Engagement Plan (SEP) include a communication strategy on the involvement of security personnel under the Project; and e. Ensure that any concerns or grievances regarding the conduct of security personnel are received, monitored, documented (taking into account the need to protect confidentiality), resolved through the Project's grievance mechanism (see action 10.2 below) and reported to the Association no later than 14 days after being received. 	<p>a) Assessment carried out in the same timeframe as action 1.2 a) above and any required measures shall be adopted before deploying security personnel under the Project and thereafter implemented throughout Project implementation.</p> <p>b) and c) before deploying security personnel under the Project and thereafter throughout Project implementation.</p> <p>d) and e) as set out under actions 10.1 and 10.2 respectively.</p>	<p>National Department of Health</p>
<p>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</p>		
<p>5.1 Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including screening checklists and due diligence to confirm site land and asset ownership/access arrangements and confirm Project activities do not involve land acquisition or restricted access.</p>	<p>Throughout Project implementation.</p>	<p>National Department of Health</p>
<p>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</p>		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	Not relevant		
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	<p>MEASURES FOR INDIGENOUS PEOPLES:</p> <p>The Project shall be carried out in accordance with the applicable requirements of ESS7, including, <i>inter alia</i>: (i) ensuring that the Stakeholder Engagement Plan (SEP) includes meaningful consultations with indigenous peoples throughout Project implementation; (ii) implementing procedures, protocols and/or other measures to ensure that indigenous peoples have access to Project benefits in a fair, equitable, inclusive and culturally appropriate manner, including, as relevant, with regards to vaccines, as set out in the ESMF and the SEP; and (iii) implementing measures to ensure that indigenous peoples are able to access the Project's grievance mechanism in a culturally appropriate manner.</p>	Throughout Project implementation.	National Department of Health
ESS 8: CULTURAL HERITAGE			
	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.	Throughout Project implementation.	National Department of Health
ESS 9: FINANCIAL INTERMEDIARIES			
	Not relevant		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN:</p> <p>Update, disclose, consult, adopt and implement the Stakeholder Engagement Plan (SEP) consistent with ESS10, in a manner acceptable to the Association, which shall include measures to, <i>inter alia</i>, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	<p>Implement and update the SEP throughout Project implementation.</p> <p>Conduct consultations with national and provincial stakeholders and target indigenous people communities on the updated SEP as part of the ESMF update process, no later than 30 days after the AF Effective Date.</p>	National Department of Health

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
10.2	<p>GRIEVANCE MECHANISM:</p> <p>An accessible grievance mechanism shall be established, publicized, maintained and operated to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall also receive, register and address concerns and grievances related to the, sexual exploitation and abuse, sexual harassment in a safe and confidential manner, including through the referral of survivors to gender-based violence service providers.</p> <p>The grievance mechanism shall also receive, register and address concerns arising from unintended health consequences after vaccination especially those resulting in serious adverse effects, and, as appropriate, requests for compensation.</p>	Implement and update the GRM and all requirements under this action 10.2 throughout Project implementation.	National Department of Health
CAPACITY SUPPORT (TRAINING)			
CS1	National Department of Health and other relevant implementing support staff responsible for the Project to receive training on the Project's E&S instruments, CoC and provisions to prevent SEA/SH, the relevant requirements of the ESMF, LMP, SEP, IPC&WMP and WHO guidelines on COVID19, fair, equitable and inclusive access and allocation of Project benefits including with regards to vaccines, and the roles and responsibilities of different key agencies in the Environmental and Social Framework implementation.	No later than 60 days of the AF Effective Date and as new Project team members join the Project throughout implementation.	National Department of Health
CS2	Contractors shall receive training on the relevant aspects of the Project E&S instruments and relevant ESHS requirements.	Prior to work commencing, throughout Project implementation.	National Department of Health